

Patent Application



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3629

Attorney Docket No. DEM1P009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Neal et al.

Attorney Docket No.: DEM1P009

Application No.: 10/007,002

Examiner: RUHL, Dennis William

Filed: November 30, 2001

Group: 3629

Title: RULE RELAXATION AND SUBSET
OPTIMIZATION SYSTEM

Confirmation No.: 9261

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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November 3, 2006

Signed: /Rebecca Savage/

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the
Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the
documents are necessarily prior art with respect to the referenced application. Furthermore,
citation of the above documents shall not be construed as a representation that a search has been
made or an admission that the information cited herein is, or is considered to be, material to
patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

 X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

 A certification pursuant to 37 C.F.R. §1.97(e) or

 X Please charge the required fee under 37 C.F.R. § 1.17(p) to our Deposit Account No. 50-2766 (Order No. DEM1P009).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. DEM1P009).

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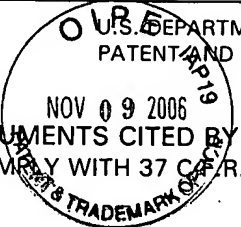
Respectfully submitted,

/Kang S. Lim/

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|  <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56</p> | ATTY. DOCKET NO. DEM1P009 | SERIAL NO. 10/007,002 |
| | APPLICANT NEAL | |
| | FILING DATE 11/30/01 | GROUP 3629 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|----------|------------------|-------|----------|-------------------------------|
| | AA | 7,058,617 | 06/06/06 | Hartman et al. | | | |
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| | AL | 2004/0111358 | 06/10/04 | Lange et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | TRANSLATION | |
|--|--|--------------------|------|------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|---|
| | BA | Diebold, Francis X. "The Past, Present, and Future of Macroeconomic Forecasting." Journal of Economic Perspectives (IJEP), vol 12, no. 2, pages 175-192, Spring 1998. |
| | | |
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.